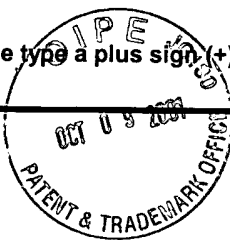


Please type a plus sign (+) inside this box

+



# TRANSMITTAL FORM

(to be used for all correspondence after initial Filing)

In re Application of

Hans-Ulrich MORITZ et al.

Serial No.: 09/719,874

Filed: December 18, 2000

Confirmation No. 5865

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Group Art Unit:

TC 1700

Examiner:

Total No. of Pages in This Submission 0

## ENCLOSURES (check all that apply)

- |   |   |   |
|---|---|---|
| <input type="checkbox"/> Fee Transmittal Form   | <input type="checkbox"/> Assignment Papers<br>(for an Application)              | <input type="checkbox"/> After Allowance Communication<br>to Group                            |
| <input type="checkbox"/> Fee Attached   | <input type="checkbox"/> Drawing(s)   | <input type="checkbox"/> Appeal Communication to<br>Board of Appeal and Interferences         |
| <input checked="" type="checkbox"/> Supplemental Preliminary<br>Amendment under 37 CFR §<br>1.115 | <input checked="" type="checkbox"/> Return Receipt Postcard                     | <input type="checkbox"/> Appeal Communication to Group<br>(Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final  | <input type="checkbox"/> Petition Checklist and                                 | <input type="checkbox"/> Proprietary Information  |
| <input checked="" type="checkbox"/> Patent Application Data Sheet                                 | <input type="checkbox"/> Accompanying Petition                                  | <input type="checkbox"/> Status Letter  |
| <input checked="" type="checkbox"/> Filing Receipt  | <input type="checkbox"/> To Convert a Provisional<br>Application                | <input type="checkbox"/> Power of Attorney, Revocation<br>Change of Correspondence<br>Address |
| <input type="checkbox"/> Information Disclosure<br>Statement/PTO-1449                             | <input type="checkbox"/> Terminal Disclaimer                                    | <input type="checkbox"/> Certified Copy of Priority<br>Document(s)                            |
| <input type="checkbox"/>  | <input type="checkbox"/> Response to Missing Parts<br>under 37 CFR 1.52 or 1.53 | <input type="checkbox"/>  |
| <input type="checkbox"/>  | <input type="checkbox"/>  | <input type="checkbox"/> Additional Enclosure(s)<br>(Please identify below):                  |
| <input type="checkbox"/>  | <input type="checkbox"/>  |   |
| <input type="checkbox"/>  | <input type="checkbox"/>  |   |

Remarks:

## SIGNATURE OF APPLICATION, ATTORNEY, OR AGENT

Firm or Individual name

October 3, 2001  
Date

*Anne G. Sabourin*  
Anne Gerry Sabourin, 33,772

PATENT

(Docket No. IN-5439)



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Hans-Ulrich MORITZ et al.

Serial No.: 09/719,874

Filed: December 18, 2000

Confirmation No. 5865

Group Art Unit:

Examiner:

For: TAYLOR REACTOR FOR MATERIALS CONVERSION IN THE COURSE OF WHICH A CHANGE IN VISCOSITY V OF THE REACTION MEDIUM OCCURS.

Commissioner for Patents  
Washington, DC 20231

AMENDMENT TRANSMITTAL

1. Transmitted herewith is an amendment for this application.

STATUS

2. Applicant is

- ☐ a small entity -- verified statement:  
☐ attached  
☐ already filed.  
☒ other than a small entity.

RECEIVED  
OCT 11 2001  
TC 1700

CERTIFICATE OF MAILING (37 CFR 1.8(a))

I hereby certify that this correspondence is, on the date shown below, being:


MAILING

☒ deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner of Patents and Trademarks, Washington, D.C. 20231.

FACSIMILE

☐ transmitted by facsimile to the Patent and Trademark Office.

Date: 10/3/01

  
signature

Marjorie Ellis

(type or print name of person certifying)

(Amendment Transmittal [9-19]--page 1 of 4)

## EXTENSION OF TERM

Note: **Extension of Time in Patent Cases (Supplement Amendments)** — if a timely and complete response has been filed after a Non-final Office Action, an extension of time is not required to permit filing and/or entry of an additional amendment after expiration of the shortened statutory period.

If a timely response has been filed after a Final Office Action, an extension of time is required to permit filing and/or entry of a Notice of Appeal or filing and/or entry of an additional amendment after expiration of the shortened statutory period unless the timely-filed response placed the application in condition for allowance. Of course, if a Notice of Appeal has been filed within the shortened statutory period, the period has ceased to run. "Notice of December 10, 1985 (1061 O.G. 34-35).

Note: See 37 CFR 1.645 for extensions of time in interference proceedings and 37 CFR 1.550(c) for extensions of time in reexamination proceedings.

3. The proceedings herein are for a patent application and the provisions of 37 CFR 1.136 apply

(complete (a) or (b) as applicable)

- (a) ☐ Applicant petitions for an extension of time under 37 CFR 1.136 (fees: 37 CFR 1.17(a)-(d) for the total number of months checked below:

| Extension<br>(months)                 | Fee for other than<br><u>small entity</u> |
|---------------------------------------|---|
| <input type="checkbox"/> one month    | \$ 110.00                                 |
| <input type="checkbox"/> Two months   | \$ 400.00                                 |
| <input type="checkbox"/> three months | \$ 890.00                                 |
| <input type="checkbox"/> four months  | \$1,510.00                                |

Fee \$

if an additional extension of time is required please consider this a petition therefor.

(check and complete the next item, if applicable)

- ☐ An extension for \_ months has already been secured and the fee paid therefor of 0.00 is deducted from the total fee due for the total \_ months of extension now requested.

Extension fee due with this request \$

OR

- (b) ☒ Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition for extension of time.

(Amendment Transmittal [9-19]--page 2 of 4)

4. The fee for claims (37 CFR 1.16(b)-(d)) has been calculated as shown below:

| (Col. 1)                                  |                                      | (Col. 1)         | OTHER THAN A<br>SMALL ENTITY |               |
|---|--------------------------------------|------------------|------------------------------|---------------|
| CLAIMS<br>REMAINING<br>AFTER<br>AMENDMENT | HIGHEST NO<br>PREVIOUSLY<br>PAID FOR | PRESENT<br>EXTRA | RATE                         | ADDIT.<br>FEE |
| TOTAL .                                   | MINUS ..                             | =                | x18=                         | \$            |
| INDEP. .                                  | MINUS ...                            | =                | X78=                         | \$            |
| FIRST PRESENTATION OF MULTIPLE DEP. CLAIM |                                      |                  | +260=                        | \$            |
|   |                                      |                  | TOTAL                        |               |
|   |                                      |                  | ADDIT.                       | \$            |
|   |                                      |                  | FEE                          |               |

- . If the entry in Col. 1 is less than entry in Col. 2 write "0" in Col.3.  
 .. If the "Highest No. Previously Paid for" IN THIS SPACE is less than 20, enter "20".  
 ... If the "Highest No. Previously Paid For" IN THIS SPACE is less than 3, enter "3".  
 The "Highest No. Previously Paid For" (Total or indep.) is the highest number found in the appropriate box in Col. 1 of a prior amendment or the number of claims originally filed.

WARNING: "After final rejection or action (§ 1.113) amendments may be made cancelling claims or complying with any requirement of form which has been made." 37 CFR § 1.116(a) (emphasis added).

(complete (c) or (d) as applicable)

- (c) ☒ No additional fee for claims is required.

OR

- (d) ☐ Total additional fee for claims required \$\_\_\_\_\_.

#### FEE PAYMENT

5. ☐ Attached is a check in the sum of \$

☐ Charge Account No. 23-3425 the sum of \$

A triplicate of this transmittal is attached.

(Amendment Transmittal [9-19]--page 3 of 4)

### FEE DEFICIENCY

an account, additional fees are necessary to cover the additional time consumed in making up the original deficiency. If the maximum, six-month period has expired before the deficiency is noted and corrected, the application is held abandoned. In those instances where authorization to charge is included, processing delays are encountered in returning the papers to the PTO Finance Branch in order to apply these charges prior to action on the cases. Authorization to charge the deposit account for any fee deficiency should be checked. See the Notice of April 7, 1986. (1065 O.G. 31-33).

6. ☐ If any additional extension and/or fee is required, charge Account No. 23-3425.

AND/OR

☐ If any additional fee for claims is required, charge Account No. 23-3425.

Reg. No.: 33,772

Anne H. Sabourin  
SIGNATURE OF ATTORNEY

Anne Gerry Sabourin  
Type or print name of attorney

Tel. No.: (248) 948-2021

BASF Corporation  
26701 Telegraph Road  
P.O. Address

Southfield, Michigan 48034-2442

CUSTOMER NO. 26922

(Amendment Transmittal [9-19]--page 4 of 4)



PATENT

(Docket No. IN-5439)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Hans-Ulrich MORITZ et al.

Serial No.: 09/719,874

Filed: December 18, 2000

For: TAYLOR REACTOR FOR MATERIALS  
CONVERSION IN THE COURSE OF  
WHICH A CHANGE IN VISCOSITY V OF  
THE REACTION MEDIUM OCCURS.

Confirmation No. 5865

Group Art Unit: 1764

Examiner:

I hereby certify that the attached correspondence is being  
deposited with the United States Postal Service as first class  
mail in an envelope addressed to Commissioner of Patents and  
Trademarks, Washington, D.C. 20231, on October 3, 2001.

1764  
10-15-01  
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OCT 11 2001  
TC 1700

*Marjorie Ellis*  
Marjorie Ellis

**SUPPLEMENTAL PRELIMINARY AMENDMENT UNDER 37 C.F.R. § 1.115**

Hon. Commissioner of Patents and Trademarks  
Washington, D.C.

Sir:

Applicant submits the enclosed Supplemental Preliminary Amendment and Application Data Sheet to claim priority, under 37 CFR 1.78(a)(1), to the earlier filed PCT application PCT/EP99/04370 filed on 23 June 1999 (23.06.99). The priority claim is indicated on the filing receipt, a copy of which is enclosed herewith.

In connection with the filing of this National Phase application, please make the following preliminary amendments.

**IN THE SPECIFICATION:**

After the title, please insert -- This application is a National Phase  
Application of Patent Application PCT/EP99/04370 filed on 23. June 1999 --

Respectfully Submitted,

*Anne Sabourin*  
Anne Gerry Sabourin  
Registration No.33,772

Date: October 3, 2001  
BASF Corporation  
26701 Telegraph Road  
Southfield, Michigan 48034-2442  
(248)-948-2021